

MINI-REVIEW

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Progress and challenges of implantable neural interfaces based on nature-derived materials

Eugenio Redolfi Riva^{1*}  and Silvestro Micera^{1,2}

Abstract

Neural interfaces are bioelectronic devices capable of stimulating a population of neurons or nerve fascicles and recording electrical signals in a specific area. Despite their success in restoring sensory-motor functions in people with disabilities, their long-term exploitation is still limited by poor biocompatibility, mechanical mismatch between the device and neural tissue and the risk of a chronic inflammatory response upon implantation.

In this context, the use of nature-derived materials can help address these issues. Examples of these materials, such as extracellular matrix proteins, peptides, lipids and polysaccharides, have been employed for decades in biomedical science. Their excellent biocompatibility, biodegradability in the absence of toxic compound release, physiochemical properties that are similar to those of human tissues and reduced immunogenicity make them outstanding candidates to improve neural interface biocompatibility and long-term implantation safety. The objective of this review is to highlight progress and challenges concerning the impact of nature-derived materials on neural interface design. The use of these materials as biocompatible coatings and as building blocks of insulation materials for use in implantable neural interfaces is discussed. Moreover, future perspectives are presented to show the increasingly important uses of these materials for neural interface fabrication and their possible use for other applications in the framework of neural engineering.

Keywords: Nature-derived materials, Implantable neural Interface, Biocompatibility, Long-term implant, Coating, Insulation material

Background

For decades, science fiction literature has triggered human imagination and curiosity on the creation of devices able to communicate with the nervous system and capable of restoring lost cognitive and sensory-motor functionalities (Cutrone and Micera 2019). This literary fascination has turned into reality because of the emergence of micro-nanotechnologies, which paved the way for the manufacture of devices that act as interfaces between the biological (neurons and nerves) and artificial worlds (computers, artificial

limbs, etc.) (Fekete and Pongrácz 2017; Wang et al. 2018). A neural interface (NI) is a bioelectronic device capable of stimulating a population of neurons or nerve fascicles and recording electrical signals in a specific area, with the aim of restoring physiological neural activity and re-establishing sensory-motor feedback through prosthetic devices (del Valle and Navarro 2013; Rijnbeek et al. 2018). NIs are categorized into three main classes: cortical, spinal cord and peripheral implants. An NI consists of an insulating material with specific geometric features that is able to interact with a designated tissue area and one or more conductive materials that carry recorded or stimulating electrical signals (Bettinger et al. 2020;

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Jastrzebska-perfect et al. 2020; Rivnay et al. 2017; Rochford et al. 2019).

Despite the success of NI in restoring sensory-motor functions, poor biocompatibility of these devices impedes long-term usage of NIs (Lacour et al. 2016; Wurth et al. 2017). This incompatibility is caused by the NI implantation process itself, which requires penetration of the nervous tissues with a rigid probe, but its long-term effects depend on the properties of the NI material. Traditional insulating material (silicon, polyimide and parylene C) and conducting material (gold, titanium, aluminum, iridium oxide and platinum) used in NI fabrication possess completely different structural and physiochemical properties with respect to the tissue with which they must interface. The mechanical mismatch ($E_{\text{polyimide}} \approx 2.5$ GPa (Rousche et al. 2001), $E_{\text{brain}} \approx 5.51$ kPa (Subbaroyan et al. 2005), $E_{\text{tibial nerve rabbit}} \approx 500$ kPa (Kwan et al. 1992)), the different chemical structures, and different physical properties and geometries between NI components and neural tissue activate the host immune system, triggering an inflammation process called foreign body reaction (FBR) (Lotti et al. 2017; Renz et al. 2018). An ideal NI exhibits stable electrical performance to allow selectivity of the recorded/stimulating signal, and the fabrication materials should match the physiochemical and mechanical properties of the surrounding tissues, thus allowing tissue-implant integration. However, upon NI implantation, FBR triggers acute and subsequent chronic inflammatory responses at the interface with neurons and nerves, damaging surrounding tissues and worsening NI functionality (de la Oliva et al. 2018). Recording performances have been demonstrated to decrease drastically approximately 1 month after electrode implantation, and electrical impedance at the tissue/device interface increases as a consequence of fibrotic tissue formation around the implant (Gunasekera et al. 2015; Karumbaiah et al. 2013). Moreover, immune cells such as macrophages continue to move to the site of the implant, releasing inflammatory cytokines that sustain the immune response and compromising the long-term usability of the NIs (Del Valle et al. 2015).

In this context, the use of nature-derived materials (NMs) for NIs can pave the way for consistent improvements in NIs long-term implantation feasibility (Chen and Allen 2012). NMs such as extracellular matrix (ECM) components, proteins and polysaccharides have been employed for decades in biomedical science (Boddohi and Kipper 2010; Chow et al. 2008; Macaya and Spector 2012; Muskovich and Bettinger 2012). The objective of this review is to highlight the progress and challenges concerning the impact of NMs in the framework of implantable NIs. In particular, the contributions of NMs is discussed in two sections, one describing their use as biocompatible coatings and another describing

their use as building blocks of NIs to improve electrode long-term safety. Finally, future perspectives are addressed to show the progressive replacement of traditional NIs fabrication materials and NMs use in other fields of neural engineering, such as in the development of biodegradable neural interfaces.

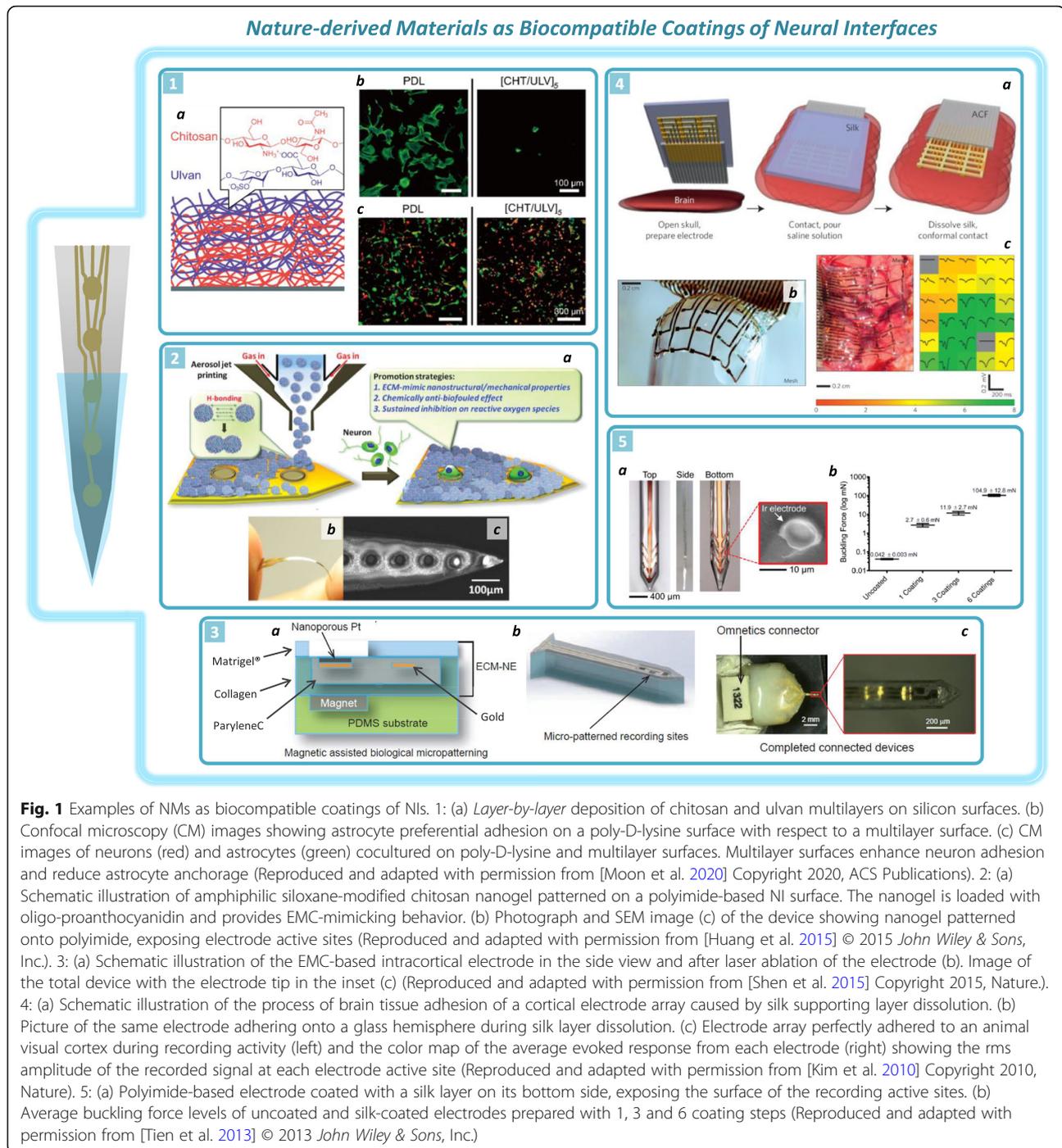
State of the art

With chemistry supplying almost unlimited types of materials, NMs are never-ending sources of inspiration that provide substances with remarkable properties for devices used in biomedical science (Chow et al. 2008; Muskovich and Bettinger 2012; Yu et al. 2018). NMs such as polysaccharides (Boddohi and Kipper 2010; Fujie et al. 2009; Redolfi Riva et al. 2013, 2014, 2017), nucleic acids (Lissek 2017; Wiraja et al. 2019), proteins (Parenteau-Bareil et al. 2010), peptides (Lee and Lee 2017) and lipids have been used for decades for the fabrication of biomedical devices and nanostructure materials. Compared to synthetic materials, NMs are advantageous because of their outstanding biocompatibility, degradation without inducing cytotoxicity or immunogenic release of compounds and physiochemical properties that are similar to those of biological tissue (Macaya and Spector 2012; Pradhan et al. 2020). For example, ECM components such as collagen and hyaluronan possess biochemical cues that enhance cell adhesion and proliferation (Hussey et al. 2018). Moreover, polysaccharides such as cellulose, chitosan, alginate, dextran and agarose are very interesting examples of NMs since they possess rheological properties similar to those of ECM glycosaminoglycans. Several contributions of NMs in the framework of implantable NIs have been published and can be categorized into two main topics regarding their use: biocompatible coatings and building blocks of NIs.

Nature-derived materials as biocompatible coatings of neural interfaces

Nanostructured coatings

Biocompatible coatings for NIs (Fig. 1) have been shown to be promising solutions to reduce tissue inflammation and scar tissue formation upon NI implantation, thus enhancing their long-term safety and stability (Woods et al. 2020). The idea is to functionalize the electrode surface with a *buffer layer*, such as a hydrogel (Yuk et al. 2019), at the tissue/implant interface that is able to reduce the adhesion of microglia, fibroblasts and macrophages at the implant surface, thus reducing scar tissue formation around the implant (Cutrone and Micera 2019; Mohan et al. 2015; Wellman et al. 2018; Zhang and Chiao 2015). Ideally, a coating provides cytocompatible anchorage for neuronal cells. In this regard, the pioneering work of Ravi Bellamkonda concerning nano-scale coating of silicon surfaces for NIs is notable (He



et al. 2006). The *layer-by-layer* technique has been used because of its remarkable versatility advantageous for obtaining nanostructure coatings with tunable thickness, surface roughness, suitable Young's modulus and swelling capacity (Silva et al. 2016; Zhang et al. n.d.). In this study, polyethyleneimine (PEI), gelatin and chitosan were used, and the absorption capability of laminin inside the nanostructure polymer network was studied. The results confirmed enhanced neuronal adhesion and

axon sprouting with respect to the bare silicon substrate. Recently, a *layer-by-layer* technique was proposed for use in coating silicon surfaces with marine polysaccharides, including chitosan, derived from crustacean shells, and ulvan, isolated from green algae, which have physicochemical properties similar to those of glycosaminoglycans, thus providing a convenient ECM-like environment for neural cell adhesion (Moon et al. 2020). The results showed enhanced hippocampal neuron

proliferation and reduced astrocyte adhesion on the NM-based coating, suggesting its use to improve cortical electrode biocompatibility. An interesting example in the framework of NIs coating was proposed by Righi and colleagues, who suggested using IKV peptide-functionalized polyimide, which showed enhanced PC12 cell adhesion and neurite outgrowth (Righi et al. 2018).

Silk-based and ECM-like microstructure coatings

Fibroin derived from silk is another NM that has inspired multiple studies in the context of NIs because of its excellent biocompatibility and mechanical properties (Kundu et al. 2013). Fibroin is extracted from *Bombyx mori* cocoons and has been widely used in different frameworks of neural engineering, such as biodegradable stiffeners to improve electrode tissue penetration, biocompatible coatings and dissolvable sacrificial layers (Kim et al. 2010; Lecomte et al. 2015; Metallo and Trimmer 2015; Tang-Schomer et al. 2014; Tien et al. 2013). Notably, Rogers and colleagues described a clever way to exploit silk film as a supporting layer to improve NI conformability with target brain tissue (Kim et al. 2010). Successful transfer of a planar cortical NI on the feline brain demonstrated an excellent level of probe adhesion to the tissue, as ensured by fibroin layer dissolution. This process guaranteed good recording performance, as shown in animal experiments.

Moreover, NMs coating of neural interfaces has also been envisioned for fabricating multifunctional NIs with increased electrical performance and drug release functionality, as demonstrated by Abidian and Martin (2009); in their work, an alginate hydrogel was fabricated on an electrode surface previously coated with dexamethasone (DEX)-loaded PLGA nanofibers. Alginate was exploited for subsequent electrodeposition of PEDOT to enhance electrical performance. Furthermore, alginate hydrogels have also been used to slow DEX diffusion by approximately 50% compared to uncoated electrodes. NMs can also be used as active molecules to functionalize NIs for anti-inflammatory purposes. Natural oligo-proanthocyanidin with antioxidizing properties has been incorporated into amphiphilic siloxane-modified chitosan nanoparticles. This nanogel has been deposited onto polyimide-based NIs to provide a drug-releasing coating with ECM-mimicking nanostructure behavior (Huang et al. 2015).

Other studies where considerable effort has been made to modify traditional microfabrication techniques to integrate NMs in electrode fabrication using ECM-like coatings are also worthy of mention (Chen et al. 2017; Shen et al. 2015; Vitale et al. 2018). ParyleneC was embedded in a type I collagen layer, and magnetic-assisted micropatterning was used to coat the electrode surface with a Matrigel mixture, exposing electrode active sites

for neural recording. The electrode showed improved biocompatibility, as reported for in vivo implantation (Shen et al. 2015). However, the authors reported that the thickness of the EMC-like structure and consistent swelling of the device after implantation may be potentially dangerous to the neuronal structure and can diminish recording capability.

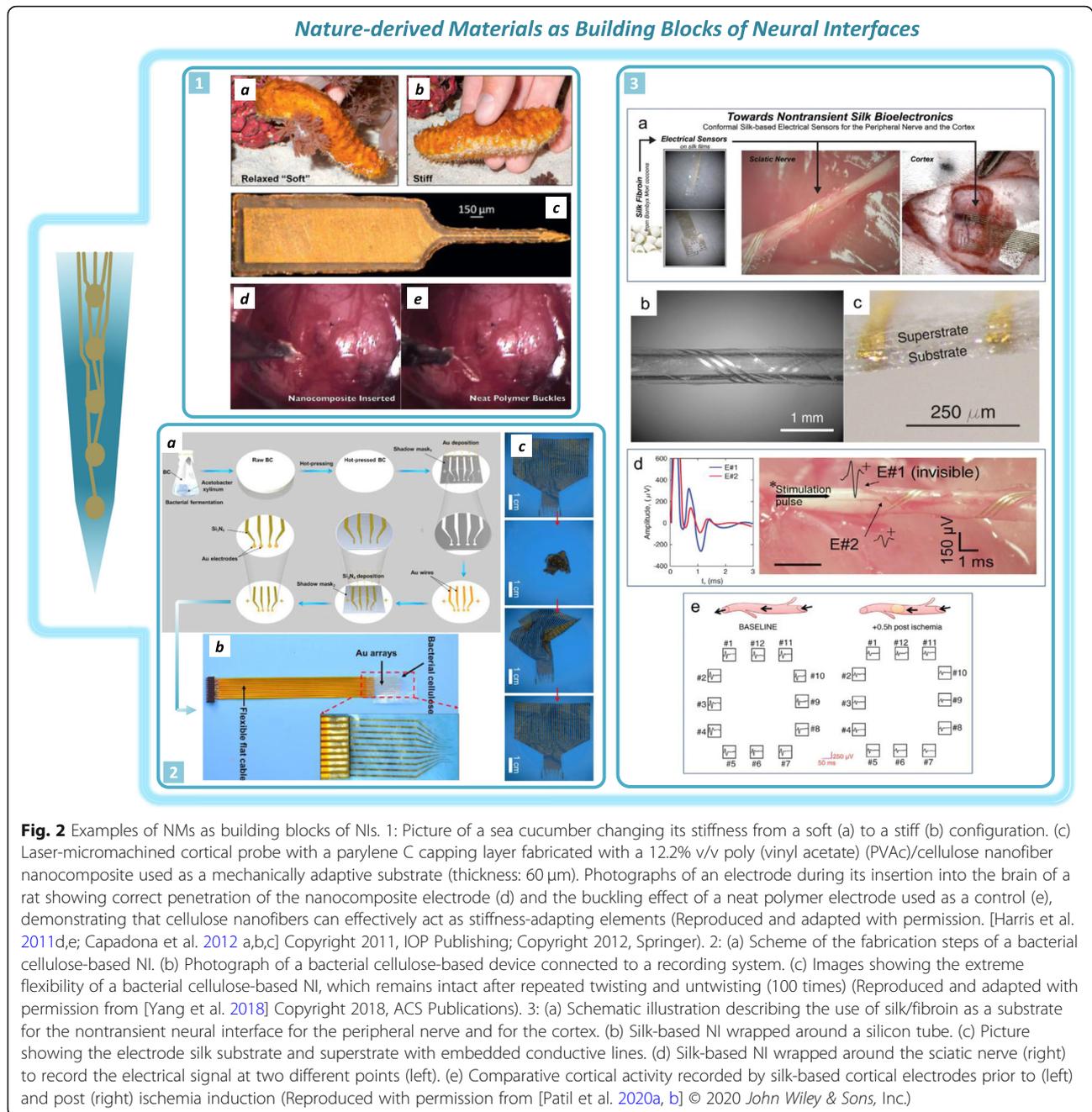
All the cited studies demonstrated the remarkable contribution that NMs can make to the framework of neural engineering. Although its ability to reduce FBR has been demonstrated in multiple studies, coating stability over time is still a subject of debate for some critical reasons (Wellman et al. 2018). Macroscopic hydrogel coatings, such as ECM-like structures, suffer from instability over time because of the oxidation process and dimensions compared with electrode thickness. These issues can cause progressive coating detachment from probe surfaces during implantation and increase electrical impedance over time. Another problem of macroscopic hydrogel coatings is consistent swelling upon implantation (Goding et al. 2019). In this regard, highly hydrophilic materials, including NMs, undergo consistent water uptake with swelling ratios that can be more than double their dry size (Catoira et al. 2019; Marcombe et al. 2019). This process can diminish the recording capability by increasing the distance between the electrode active site and neurons and can also lead to progressive detachment of the electrode conductive layer.

For these reasons, we believe that different NIs coatings made from nanostructure materials, such as *layer-by-layer* nanocoating and peptide functionalization, are preferable solutions to enhance biocompatibility for achieving better electrode tissue integration and electric performance (Olczak et al. 2019).

Nature-derived materials as building blocks of neural interfaces

A promising use of NMs in the framework of implantable NIs is as electrode building blocks (Fig. 2). Given the properties of natural insulators, the use of NMs can also be imagined for the fabrication of structural/insulation layer of NIs. In this view, a new solution to electrode fabrication may pave the way for consistent innovation in NIs design. Indeed, we believe that the contribution of NMs in this context could change the paradigm of flexible NIs fabricated with synthetic insulation materials.

The inspiring work of the Jeffrey Capadona group is pioneered the use of NMs as building blocks of the insulation material used for NIs (Capadona et al. 2008). Hybrid natural/synthetic flexible materials have been used to reduce the chronic immune response, enhancing



the long-term stability of implanted NIs (Capadona et al. 2012; Harris et al. 2011). Inspired by the sea urchin behavior of altered stiffness, a biomimetic approach has been used to develop a stimuli-responsive intracortical electrode formed by cellulose nanowhisker-doped (TC-doped) polyvinyl acetate (PVAc) (Capadona et al. 2008; Shanmuganathan et al. 2010); this material possesses outstanding switchable mechanical properties as shown upon water absorption, when the electrode undergoes drastic softening, with the Young's modulus changing from 3420 ± 98 MPa (dry state) to 22 ± 7 MPa (swollen

state) (Hess et al. 2011). This switching ability was exploited to insert this electrode inside the brain, taking advantage of its rigidity in the dry state. Enhanced integration with biological tissue has been demonstrated by in vitro and in vivo investigations that showed reduced chronic inflammation over time (Nguyen et al. 2014). Although cellulose is the basic structural polysaccharide of plants, it is also produced by bacteria such as *Acetobacter xylinum* in the form of bacterial cellulose (BC), which has higher mechanical strength than plant-derived cellulose (Esa et al. 2014). BC has recently been used as

an insulation layer of cortical electrodes after being processed into thin films by hot pressing (Yang et al. 2018). After further microfabrication steps, conductive layers were deposited onto the BC insulation layer to produce the final electrode. This BC-based device has superior advantages compared to traditional insulation materials, with mechanical properties similar to brain tissue and extreme conformability to brain tissue because of a bending stiffness that is 1/5200 that of polyimide-based electrodes (Yang et al. 2018).

In another interesting work in the context of NMs as building blocks of NIs, nontransient silk electrodes were used for neural recording (Patil et al. 2020a, b). In this study, a smart process used to modify the traditional microfabrication technique conferred NM with adaptability for integration, with silk used as a water-stable insulating layer. Water annealing was used to achieve a water-stable nontransient silk NI, and subsequent conductive layer deposition led to the formation of a flexible silk electrode. Experiments on material stability in physiological environments and animal tests illustrated the remarkable potential of this electrode as a sensing interface for neural signal recording in either the cortex or peripheral nervous system (Patil et al. 2020a, b). This type of nontransient NM-based electrode and the great potential of NMs to the pursuit of the biocompatibility enhancement could represent a turning point in NIs long term reliability. This change in perspective can pave the way for the development of highly conformable and tough NM-based electrodes whose long-term performances can be better than those of traditional NIs because of the abovementioned advantages of NMs over traditional synthetic materials. We believe that this vision can be a source of inspiration for scientists to adapt current microfabrication techniques to employ NMs in the fabrication chain of NIs with the goal of progressively replacing traditional NIs building blocks such as resins and elastomers.

Challenges and future perspectives

Promising strategies for NMs integration in neural interface design

Despite the aforementioned promising uses of NMs, poor mechanical properties, consistent swelling upon water uptake and instability are the main problems that can have a negative impact on NIs performance. These problems can be overcome by polymer crosslinking, annealing treatments or chemical modification and by using alternative fabrication strategies. In the framework of NIs coating, the *layer-by-layer* technique is an advantageous and versatile strategy, ensuring good electrode/tissue integration and reducing FBR effects over time. Moreover, the encapsulation of drugs, conductive elements and functional nanoparticles inside this structure

allows the imagining of a new coating concept: a smart nanostructure layer with improved compatibility for neurons, increased electrical performance and sustained release of active molecules over time. This new class of NMs-based coatings can strongly impact the long-term stability of an NI, avoiding the need for electrode explants. Hence, future directions for the use of NMs can be imagined in this type of framework, where integration with other functional elements can be the key for the development of a new NI concept. In fact, the use of NMs for electrode insulating layer fabrication can efficiently impact NI design, with the objective of enhancing electrode tissue integration. In our view, the abovementioned works on nontransient silk (Patil et al. 2020a, b) and cellulose-based electrodes (Yang et al. 2018) represent promising and challenging new lines of research with significant potential to enhance the long-term performance and tissue integration of NIs.

Nature-derived conductive polymers: inspiring solutions for bioelectronic devices in neural engineering

Conductive polymers have been widely used in neural engineering for decades as alternatives to metallic structures for the design of conductive layers of biomedical devices. George G. Malliaras' work on organic transistors for brain activity recording using poly(3,4-ethylenedioxythiophene) doped with polystyrene sulfonate (PEDOT:PSS) as a conductive element is worthy of citation (Khadgoly et al. 2013). Another interesting study reported the use of polypyrrole (PPy) as a conductive polymer for silk-based scaffolds used in neural tissue engineering (Zhao et al. 2018). The most noteworthy examples of electroconductive polymers used for organic electronics are synthetic in nature; however, a detailed discussion of their roles in neural engineering is beyond the scope of this paper. The recent review of Rylie Green and Mohammad Reza Abidian offers a more comprehensive presentation on this class of materials (Green and Abidian 2015). Nevertheless, in recent years, novel examples of electroconductive polymers inspired by nature-derived materials have been explored for use in organic electronics. In this regard, natural compounds such as eumelanin, a protein derived from the oxidative polymerization of 5,6-dihydroxyindoles and used as UV-protection molecules, have already been used to enhance photocurrent production in porous silicon-based optoelectronic devices (Antidormi et al. 2018). In the framework of neural engineering, spin-coated melanin films have been reported to support and enhance PC12 growth and neurite sprouting (Bettinger et al. 2009). Hence, the semiconducting properties of eumelanin (D'Ischia et al. 2009) coupled to its processability with the microfabrication technique suggest eumelanin as a suitable material for the conductive layer of NIs. Other

natural compounds that may be used in this framework include carotenoids and pigments such as indigo. Beta-carotene, a red-orange pigment known for its antioxidant properties (Sies et al. 1992), displays electroactive properties since it exhibits p-type field effect semiconducting behavior (Burch et al. 2004). For this reason, it has been used for organic electronic devices such as solar cells (Yakuphanoglu et al. 2006). Furthermore, indigo, a natural pigment produced by *Indigofera tinctoria*, has been proposed for use in organic field effect transistors upon deposition through thermal evaporation (Irimia-Vladu et al. 2012). All these studies reveal the substantial contribution that NMs may make to the framework of implantable NIs because of their remarkable properties, allowing us to imagine future development of electrodes comprised entirely of NMs. These new NIs may represent a turning point for future electrode fabrication in neural engineering, envisioning low-cost NIs with excellent tissue/electrode integration for long-term implantation.

Envisioning NMs use in novel biodegradable electronic devices

Biodegradable electronics for the stimulation/recording of neural signals are other interesting products where NMs use can be envisioned, particularly because of the degradation process of NMs upon contact with biological media (Feig et al. 2018; Irimia-Vladu 2014; Nair and Laurencin 2007). As reported above, NI implantation triggers a chronic inflammatory response, and a second invasive surgery to remove the electrode is required to stop this response. In this framework, electrical stimulation and recording may be useful only in a certain therapeutic window, depending on the pathology to be treated and the time scale of the event to be recorded. A biodegradable NI may be a promising and clever solution for the treatment of neurological disorders, such as epilepsy, for deep brain stimulation and for recording neural signals (Shan et al. 2019). In the past few years, scientists have mainly focused on synthetic materials for biodegradable NI fabrication (Li et al. 2018). A recent work discussing biodegradable NIs for recording stimulus-evoked activity and spontaneous activity in the auditory cortex is worthy of citation (Zhang et al. 2020). Poly (glycerol sebacate), a synthetic material created from mammalian metabolites glycerol and sebacic acid, has been used as a biodegradable insulating layer, and magnesium has been used as a biodegradable conductive material because of its good electrical properties (Johnson and Liu 2013; Sebaa et al. 2013).

The exploitation of NMs can also have a significant impact in this field, although very few studies have

reported on this topic. Considerable examples in this context are the studies describing fibroin as a biodegradable substrate for biosensors and transient electronic circuits described in the recent review of Patil and colleagues (Patil et al. 2020a, b) that show how the scientific community is starting to investigate the use of NMs for the realization of biodegradable NIs. To achieve the goal of NM use in biodegradable NIs, more effort will be required to adapt microfabrication techniques to the implementation of natural compounds in the process chain of flexible NIs to allow an ever greater incorporation of NMs into their structure.

Conclusions

This review highlights how the framework of implantable NIs may benefit from the integration of NMs in the fabrication process. Especially when processed through the *layer-by-layer* technique, NMs have shown good cytocompatibility towards neurons and the possibility to be processed into nanostructured coatings to improve electrode biocompatibility and to provide additional capabilities, such as improved electrical performance and sustained drug release over time. Furthermore, remarkable contributions of NMs have been shown when natural compounds have been used as building blocks of neural interfaces to reduce mechanical mismatch at the electrode/tissue interface. The remarkable properties of NMs can also be envisioned for use in fabricating new biodegradable neural electrodes to treat neurological disease by implanting the probe into the brain without requiring a second surgery to remove it.

In conclusion, we believe that in all the analyzed frameworks, the excellent advantages of NM over synthetic materials can offer considerable benefit in terms of enhancing NIs biocompatibility for long-term implants.

Abbreviations

NMs: Nature-derived materials; NIs: Neural interfaces; ECM: Extracellular matrix; FBR: Foreign body reaction

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Authors' contributions

ERR carried out the literature search and wrote the manuscript. SM supervised and reviewed the manuscript. Both the authors conceived the work. All the authors read and approved the final manuscript.

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